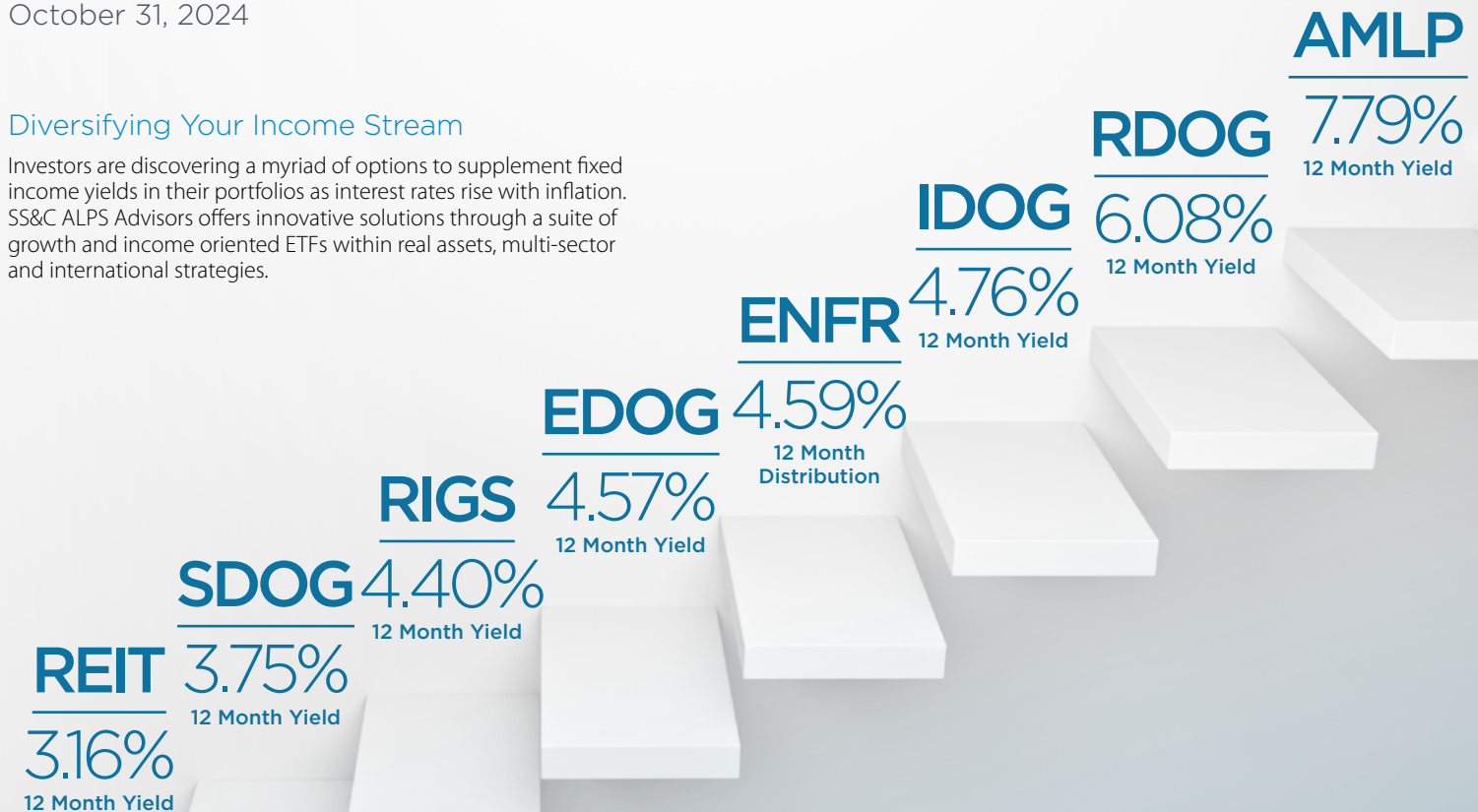


# ETF Income Opportunities

October 31, 2024

## Diversifying Your Income Stream

Investors are discovering a myriad of options to supplement fixed income yields in their portfolios as interest rates rise with inflation. SS&C ALPS Advisors offers innovative solutions through a suite of growth and income oriented ETFs within real assets, multi-sector and international strategies.



**Alerian MLP ETF (AMLP):** Midstream master limited partnership (MLP) exposure with an emphasis on tax-advantaged income (no K-1).

**ALPS REIT Dividend Dogs ETF (RDOG):** US REIT exposure with an emphasis on income and diversification by equally-weighting the 5 highest yielding stocks within the 9 REIT sub-segments.

**Alerian Energy Infrastructure ETF (ENFR):** US and Canadian equity energy infrastructure exposure with an emphasis on income and total return.

**ALPS International Sector Dividend Dogs ETF (IDOG):** Developed markets (ex-US) equity exposure with an emphasis on income and diversification by equally-weighting the 5 highest yielding stocks within the 10 GICS sectors (excluding Real Estate).

**ALPS Emerging Sector Dividend Dogs ETF (EDOG):** Emerging markets equity exposure with an emphasis on income and diversification by equally-weighting the 5 highest yielding stocks within the 10 GICS sectors (excluding Real Estate).

**RiverFront Strategic Income Fund (RIGS):** Global fixed income exposure with an emphasis on income and diversification through fixed-income securities of various maturities, ratings and currency denominations.

**ALPS Sector Dividend Dogs ETF (SDOG):** US equity exposure with an emphasis on income and diversification by equally-weighting the 5 highest yielding stocks within the 10 GICS sectors (excluding Real Estate).

**ALPS Active REIT ETF (REIT):** An actively managed REIT strategy in a low-cost, tax-efficient ETF structure.

*All ETFs provide 1099 reporting.*

*Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Performance includes reinvested distributions and capital gains. See page 2 for standardized performance.*

			Performance as of 9/30/2024				
			Total Returns	YTD	1 Y	5 Y	SI
<b>Alerian Energy Infrastructure ETF (ENFR)*</b>			Net Asset Value	27.64%	34.87%	13.43%	5.99%
30-Day SEC Yield:	4.72%		Market Price	27.68%	34.90%	13.44%	5.99%
Trailing Twelve Month Distribution:	4.59%		Alerian Midstream Energy Select Index - TR	28.32%	35.88%	14.35%	6.87%
Total Operating Expenses:	<b>0.35%</b>						
<b>Alerian MLP ETF (AMPL)*</b>			Net Asset Value	17.59%	21.21%	9.91%	4.47%
30-Day SEC Yield:	12.04%		Market Price	17.62%	21.21%	9.90%	4.47%
Trailing Twelve Month Yield:	7.79%		Alerian MLP Infrastructure Index - TR	20.02%	23.62%	12.46%	6.83%
Total Operating Expenses:	<b>0.85%</b>						
<b>ALPS Active REIT ETF (REIT)*</b>			Net Asset Value	13.90%	32.25%	–	8.79%
30-Day SEC Yield:	2.85%		Market Price	13.98%	32.28%	–	8.81%
Trailing Twelve Month Yield:	3.16%		S&P US REIT Index - TR	15.69%	34.24%	–	8.68%
Total Operating Expenses:	<b>0.68%</b>						
<b>ALPS Emerging Sector Dividend Dogs ETF (EDOG)*</b>			Net Asset Value	9.78%	18.53%	7.23%	3.62%
30-Day SEC Yield:	5.64%		Market Price	9.84%	18.84%	7.28%	3.61%
Trailing Twelve Month Yield:	4.57%		S-Network Emerging Sector Dividend Dogs Index - NTR	10.14%	18.60%	7.88%	4.38%
Total Operating Expenses:	<b>0.60%</b>						
<b>ALPS International Sector Dividend Dogs ETF (IDOG)*</b>			Net Asset Value	10.82%	22.10%	8.98%	6.55%
30-Day SEC Yield:	6.26%		Market Price	10.98%	22.72%	9.08%	6.59%
Trailing Twelve Month Yield:	4.76%		S-Network Int'l Sector Dividend Dogs Index - NTR	11.07%	22.68%	9.41%	6.96%
Total Operating Expenses:	<b>0.50%</b>						
<b>ALPS REIT Dividend Dogs ETF (RDOG)*</b>			Net Asset Value	13.49%	33.59%	2.69%	3.36%
30-Day SEC Yield:	7.07%		Market Price	13.52%	33.57%	2.68%	3.36%
Trailing Twelve Month Yield:	6.08%		S-Network REIT Dividend Dogs Index - TR	13.72%	34.30%	–	–
Total Operating Expenses:	<b>0.35%</b>						
<b>ALPS Sector Dividend Dogs ETF (SDOG)*</b>			Net Asset Value	18.71%	30.65%	10.51%	11.41%
30-Day SEC Yield:	3.89%		Market Price	18.64%	30.60%	10.51%	11.41%
Trailing Twelve Month Yield:	3.75%		S-Network Sector Dividend Dogs Index - TR	19.10%	31.21%	10.92%	11.89%
Total Operating Expenses:	<b>0.36%</b>						
<b>RiverFront Strategic Income Fund (RIGS)**</b>			Net Asset Value	4.78%	10.89%	2.28%	3.34%
30-Day SEC Yield:	4.88%		Market Price	4.92%	10.68%	2.18%	3.35%
Trailing Twelve Month Yield:	4.40%		Bloomberg US Aggregate Bond Index - TR	4.45%	11.57%	0.33%	2.04%
Total Operating Expenses:	<b>0.47%</b>						

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This material must be accompanied or preceded by the prospectus for each fund listed above. Read the prospectus carefully before investing: [Alerian Energy Infrastructure ETF](#), [Alerian MLP ETF](#), [ALPS Active REIT ETF](#), [ALPS Emerging Sector Dividend Dogs ETF](#), [ALPS International Sector Dividend Dogs ETF](#), [ALPS REIT Dividend Dogs ETF](#), [ALPS Sector Dividend Dogs ETF](#) and [RiverFront Strategic Income Fund](#).

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

\* Distributions paid quarterly.

\*\* Distributions paid monthly.

30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

30-Day SEC Yield (AMPL): reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses, including current or deferred income tax expense (if any).

Alerian Midstream Energy Select Index: a composite of North American energy infrastructure companies. The capped, float-adjusted, capitalization-weighted index constituents are engaged in midstream activities involving energy commodities.

Alerian MLP Infrastructure Index: a composite of energy infrastructure Master Limited Partnerships (MLPs). The capped, float-adjusted, capitalization-weighted index constituents earn the majority of their cash flow from midstream activities involving energy commodities.

Bloomberg US Aggregate Bond Index: a broad-based benchmark that measures the investment grade, US dollar-denominated, fixed-rate taxable bond market.

Market Price: based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

Net Asset Value (NAV): the per-share dollar amount of the fund is derived by dividing the total value of all the securities in its portfolio, less any liabilities, by the number of fund shares outstanding.

S&P US REIT Index: defines and measures the investable universe of publicly traded real estate investment trusts (REITs) domiciled in the United States.

S-Network Emerging Sector Dividend Dogs Index: a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S-Network Emerging Markets Liquid 500 Index.

S-Network International Sector Dividend Dogs Index: a portfolio of stocks derived from a universe of mainly large capitalization stocks domiciled in developed markets outside the Americas (the "S-Network Developed International Equity 1000 Index").

S-Network REIT Dividend Dogs Index: a portfolio of stocks derived from the S-Network US Composite REIT Index (SNREIT).

S-Network Sector Dividend Dogs Index: a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S-Network US Equity WR Large-Cap 500 Index (SNR 500) on a sector-by-sector basis. The SNR 500 includes the 500 largest US stocks by market capitalization.

Trailing Twelve Month (TTM) Distribution (ENFR): refers to the return of capital the Fund has distributed to investors over the last 12 months. Roughly 59% of the TTM distribution as of 9/30/2024 was return of capital.

Trailing Twelve Month Yield: refers to the percentage of income a portfolio has returned to investors over the last 12 months.

One may not invest directly in an index.

**Alerian Energy Infrastructure ETF Objective:** seeks investment results that correspond (before fees and expenses) generally to the price and yield performance of its underlying index, the Alerian Midstream Energy Select Index. As a secondary objective, the Fund seeks to provide total return through income and capital appreciation.

**Alerian MLP ETF Objective:** seeks investment results that correspond (before fees and expenses) generally to the price and yield performance of its underlying index, the Alerian MLP Infrastructure Index.

Investments in securities of Master Limited Partnerships (MLPs) involve risks that differ from an investment in common stock. MLPs are controlled by their general partners, which generally have conflicts of interest and limited fiduciary duties to the MLP, which may permit the general partner to favor its own interests over the MLPs.

**ALPS Active REIT ETF Objective:** seeks total return through dividends and capital appreciation.

Ownership of real estate is subject to fluctuations in the value of underlying properties, the impact of economic conditions on real estate values, the strength of specific industries renting properties and defaults by borrowers or tenants. Real estate is a cyclical business, highly sensitive to general and local economic conditions and developments, and characterized by intense competition and periodic overbuilding. Credit and interest rate risk may affect real estate companies' ability to borrow or lend money. The Fund will primarily invest in publicly traded common equity securities of US REITs. The Fund may also invest a portion of its assets in publicly traded common equity of US real estate operating companies (not structured as REITs), publicly traded preferred equity of US REITs and real estate operating companies, and cash and cash equivalents.

**ALPS Emerging Sector Dividend Dogs ETF Objective:** seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Emerging Sector Dividend Dogs Index.

The Fund's investments in non-US issuers may involve unique risks compared to investing in securities of US issuers, including, among others, less liquidity generally, greater market volatility than US securities and less complete financial information than for US issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the US dollar, which may affect the value of the investment to US investors.

**ALPS International Sector Dividend Dogs ETF Objective:** seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network International Sector Dividend Dogs Index.

**ALPS REIT Dividend Dogs ETF Objective:** seeks investment results that replicate as closely as possible, before fees and expenses, the performance of an index called the S-Network REIT Dividend Dogs Index.

Ownership of real estate is subject to fluctuations in the value of underlying properties, the impact of economic conditions on real estate values, the strength of specific industries renting properties and defaults by borrowers or tenants. Real estate is a cyclical business, highly sensitive to general and local economic conditions and developments, and characterized by intense competition and periodic overbuilding. Credit and interest rate risk may affect real estate companies' ability to borrow or lend money.

**ALPS Sector Dividend Dogs ETF Objective:** seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Sector Dividend Dogs Index.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector. Diversification does not eliminate the risk of experiencing investment losses.

**RiverFront Strategic Income Fund Objective:** seeks total return, with an emphasis on income as the source of that total return.

The performance of the Fund relative to its benchmark will depend largely on the decisions of the RiverFront Investment Group, LLC (the "Sub-Adviser" or "RiverFront") as to strategic asset allocation and tactical adjustments made to the asset allocation. At times, RiverFront's judgments as to the asset classes in which the Fund should invest may prove to be wrong, as some asset classes may perform worse than others or fixed income markets generally from time to time or for extended periods of time. The performance of the Fund is related to the market sectors that RiverFront may choose to emphasize or deemphasize from time to time, as well as to the individual securities selected by RiverFront within those sectors. The investment returns for particular market sectors will fluctuate and may be lower than other sectors. In addition, the individual securities chosen for investment within a particular sector may underperform other securities within that same sector. Certain bonds selected for the Fund's portfolio may decline in value more than the overall bond markets. ALPS Advisors, Inc., registered investment adviser with the SEC, is the investment adviser to the Funds. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc. ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Funds.

Not FDIC Insured • No Bank Guarantee • May Lose Value

APSO02616 2/28/2025